



National Aeronautics and
Space Administration
Washington, DC 20546

Grant Notice

GN 17-03

Sep 28, 2017

Revisions to the Grant and Cooperative Agreement Manual

(NASA Case 2017-N030)

PURPOSE: To revise NASA Grants and Cooperative Agreement Manual (GCAM) Part 5.10 concerning research terms and conditions.

BACKGROUND: In 2000, the Federal Demonstration Partnership (FDP), a cooperative initiative among numerous Federal agencies and institutional recipients of research funds aimed at reducing the administrative burdens associated with research grants and contracts, developed Standard Terms and Conditions as a model implementation of OMB Circular A-110. In 2005, following public and agency comment on the original FDP terms, standard research terms and conditions were developed by Research Business Models (RBM), an Interagency Working Group. In 2008, a side-by-side comparison of OMB Circular A-110 and the Research Terms and Conditions was developed; the terms and conditions were updated in 2011.

This project is an initiative of the Research Business Models (RBM) Interagency Working Group. Given the increasing complexity of interdisciplinary and interagency research, it has become increasingly important for Federal agencies to manage awards in a similar fashion.

On June 30, 2014, a proposal was presented to revise the RTCs for implementing the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR § 200 (Uniform Guidance). The purpose was to develop a revised set of RTCs as they apply to research and research-related awards made by the awarding agencies (including NASA) to institutions of higher education and non-profit organizations.

On October 14, 2015 the National Science Foundation asked for public comment on the updated RTCs. In August 2017, NSF provided three companion resources: Appendix A, Prior Approval Matrix, Appendix B, Subaward Requirements Matrix, and Appendix C, National Policy Requirements Matrix are posted on the NSF website at: <http://www.nsf.gov/awards/managing/rtc.jsp>. NASA provided agency specific clarification and requirements to these documents.

The GCAM is amended by providing policy for the RTCs in Section 5.10.1. Procurement for Public Sector (PPS) has been updated to include the RTCs whenever a research grant or cooperative agreement issued under 2 CFR 1800 is selected.

DOCUMENTS AFFECTED BY CHANGES: Grants and Cooperative Agreement Manual.

ACTION REQUIRED: This requirement is applicable to all new and renewal research awards issued under 2 CFR 1800 on or after the October 1, 2017. Grant Officers should ensure that the statement “R&D Terms and Conditions and NASA Agency-Specific Requirements Apply to This Grant” is checked in Box 15 on Form 1687.

DOCUMENTS AFFECTED BY CHANGES: Grants and Cooperative Agreement Manual.

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Enclosure



NASA Grant and Cooperative Agreement Manual

In Accordance with 2 CFR Part 200

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[5.10.1 Research Terms and Conditions (RTC)

1. When a new or renewal NASA Research Grant or Cooperative Agreement is awarded 2 CFR 1800, as designated on the cover sheet NF1687, PPS will include the Research Terms and Conditions (RTC). The side-by-side RTCs depict pertinent sections of the Uniform Guidance and clarifications for research and research-related awards together on the same document.

2. In addition to the RTCs, three companion resources Appendix A, Prior Approval Matrix, Appendix B, Subaward Requirements Matrix, and Appendix C, National Policy Requirements Matrix are posted on the NSF website at: <http://www.nsf.gov/awards/managing/rtc.jsp>. The RTCs include flexibility and NASA has provided clarification through the incorporation of Appendices A – C and Agency-Specific Requirements.

3. The RTCs do not apply to awards issued under 14 CFR 1274.]

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