



National Aeronautics and
Space Administration
Washington, DC 20546

Grant Notice

GN 17-01

March 8, 2017

Amend the Grants and Cooperative Agreement Manual (GCAM) to Revise Exhibit A

(NASA Case 2016-N044)

PURPOSE: To clarify procedures, reduce errors due to guidelines being stored in multiple locations, and provide correct information to NASA staff, proposers and grant recipients by updating Exhibit A to include funding restrictions for scholarship and fellowship awards.

BACKGROUND: The Office of Education identified a concern with regard to how institutions may be applying indirect cost rates to NASA scholarship awards. 2 CFR 200.414 requires that agencies accept the negotiated indirect cost rate, unless a reduced rate is approved by the Agency head. Public Law 109-155 Sec. 431 (a), which is NASA's authorization for making fellowship awards, clearly details what costs are allowed and the overhead rates for institutions is not one of those allowed costs. To assure compliance with 2 CFR 200 Appendix 1 Section D (6), NASA will include a statement regarding the limitation on the use of funds for any fellowship awards, regardless of office or mission directorate. This includes a statement that Facilities and Administration (F&A), overhead or indirect costs will not be allowed (see suggested language in the Exhibit A of the NASA Grants and Cooperative Agreement Manual)

DOCUMENTS AFFECTED BY CHANGES: Grants and Cooperative Agreement Manual.

ACTION REQUIRED: Grant officers shall ensure the correct Exhibit A is attached to all awards issued after the effective date of this GN. This includes a statement that NASA Fellowship and Scholarship awards shall not provide for the payment of Facilities and Administration (F&A), overhead or indirect costs.

DOCUMENTS AFFECTED BY CHANGES: Grants and Cooperative Agreement Manual.

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for **William P. McNally**
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Enclosure



NASA Grant and Cooperative Agreement Manual

In Accordance with 2 CFR Part 200

Implementation Date:
December 26, 2014

Revised: ~~Dec. 16, 2016~~ [**March 8, 2017**]

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6.0 Post-Award Procedures

6.1 Administration

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Exhibit A -- Standard Announcement Format for NASA Announcements of Grants and Cooperative Agreements

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Exhibit F -- Examples of Costs Categories from 2 CFR 200 Subpart E

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Exhibit A -- Standard Announcement Format for NASA Announcements of Grants and Cooperative Agreements

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION (NASA)
Center issuing announcement
Mission Directorate

Program Title and Fiscal Year

NASA RESEARCH ANNOUNCEMENT (NRA)

Type of Project (science research, etc.)

ANNOUNCEMENT NUMBER:

CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) NUMBER:

ANNOUNCEMENT TYPE:

Either initial announcement of this funding opportunity or a modification of a previously announced opportunity

ISSUED: Date Funding Opportunity Announcement issued

KEY DATES

Key dates include due dates and time for applications or Executive Order 12372 submissions, as well as for any letters of intent or pre-applications. For any announcement issued before a program's application materials are available, key dates also include the date on which those materials will be released; and any other additional information, as deemed applicable by the relevant Federal awarding agency.

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- A. Program Description—Required**
- B. Federal Award Information—Required**
- C. Eligibility Information – Required**
- D. Application and Submission Information - Required**
- E. Application Review Information - Required**
- F. Federal Award Administration Information - Required**
- G. Federal Awarding Agency Contact(s)—Required**
- H. Other Information—Optional**

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D. Application and Submission Information - Required

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6. Funding Restrictions—Required.

Notices must include information on funding restrictions in order to allow an applicant to develop an application and budget consistent with program requirements. Examples are:

- Whether construction is an allowable activity,
- If there are any limitations on direct costs such as foreign travel or equipment purchases, and
- If there are any limits on indirect costs (or facilities and administrative costs) – such as not paying a cost of money percentage.

NOTE: Applicants must be advised if Federal awards will not allow reimbursement of pre-Federal award costs.

NASA REQUIREMENT

Funding Restrictions (required all)

Sample language

- All proposed funds must be allowable, allocable and reasonable. Funds may only be used for the project. All activities charged under indirect cost must be allowed under 2 CFR 200 cost principles.
- Grants and Cooperative Agreements shall not provide for the payment of fee or profit to the recipient.
- Unless otherwise directed in 2 CFR 200, for changes to the negotiated indirect cost rate that occur throughout the project period, you must apply the rate negotiated for that year, whether higher or lower than at the time the budget and application was awarded.
- Proposals must not include bilateral participation, collaboration, or coordination with China or any Chinese-owned company or entity, whether funded or performed under a no-exchange-of-funds arrangement.
- Any funds used for match or cost sharing must be allowable under 2 CFR 200.
- **[Awards made under NASA Fellowship and Scholarship funding opportunities shall not provide for the payment of Facilities and Administration (F&A), overhead or indirect costs.]**

7. Other Submission Requirements— Required.

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