



National Aeronautics and
Space Administration
Washington, DC 20546-0001

Grant Notice

GN16-03
November 28, 2016

Revisions to Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards (NASA Case 2015-N030)

PURPOSE: This Grant Notice (GN) revises 2 CFR 1800 – Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards as set forth in the final rule published in the Federal Register on Thursday, October 27, 2016, and effective November 28, 2016. To view a copy, click [here](#).

BACKGROUND: As a result of feedback from the grantee community, OIG audit recommendations, and new NASA program requirements, NASA decided it was necessary to take the following actions:

- Modify the requirements related to information contained in a Federal award for commercial firms with no cost sharing requirement
- Modify an existing term and condition related to reporting requirements
- Add a new term and condition related to indirect cost charges
- Add a new term and condition related to access to research results

FINANCIAL ASSISTANCE AFFECTED BY CHANGES: These revisions are applicable to grants and cooperative agreements awarded to non-Federal entities, commercial firms (when cost sharing is not required), and foreign organizations as allowed by 2 CFR 200.101 Applicability, after the effective date of the final rule, November 28, 2016.

ACTION REQUIRED: Grant officers shall implement the following changes as described in the section:

1800.210 – Information contained in a Federal award
1800.902 – Technical Publications and Reports
1800.929 – Indirect Costs
1800.930 – Access to Research Results

TERM AND CONDITION CHANGES: These revisions resulted in the need to make the following changes to terms and conditions: 1) establish a revised term and condition

(1800.902) that the grant officer shall include on all awards made to all applicable entities under 1800.3(a), and 2) establish two new terms and conditions (1800.929 and 1800.930) that the grant officer shall include as applicable.

PARTS AFFECTED: 2 CFR 1800.

TYPE OF RULE AND PUBLICATION DATE: The changes were published as a final rule in the Federal Register ([81 FR 74657](#)) on Thursday, October 27, 2016.

EFFECTIVE DATE: November 28, 2016.

HEADQUARTERS CONTACT: Jennifer Richards, Contract & Grant Policy Division; 202-358-0047, email: jennifer.l.richards@nasa.gov.

William P. McNally
Assistant Administrator for Procurement

Enclosures

DISTRIBUTION LIST:

HQ OP Program Operations
Grant Policy Team

Title 2: Grants and Agreements

PART 1800—UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS

Contents

§1800.1 Authority.

§1800.2 Purpose.

§1800.3 Applicability.

§1800.4 Amendment.

§1800.5 Publication.

§1800.6 Deviations.

Subpart A—Acronyms and Definitions

§1800.10 Acronyms.

§1800.11 Definitions.

Subpart B—Pre-Federal Award Requirements and Contents of Federal Awards

§1800.208 Certifications and representations.

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§1800.209 Pre-award costs.

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§1800.210 Information contained in a Federal award.

NASA waives the requirement for the inclusion of indirect cost rates on any notice of Federal award for commercial firms with no cost sharing requirement. The terms and conditions for

NASA may be found at Appendix B of this Part and

https://prod.nais.nasa.gov/pub/pub_library/srba .

Subpart C—Post Federal Award Requirements

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Standards for Financial and Program Management

Section 1800.305 Payment.

Subpart C—Post Federal Award Requirements

Standards for Financial and Program Management

§1800.305 Payment.

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§1800.306 Cost sharing or matching.

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Property Standards

§1800.312 Federally owned and exempt property.

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§1800.315 Intangible property.

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Remedies for Noncompliance

§1800.339 Termination.

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§1800.400 Policy guide.

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Appendix A to Part 1800—Certifications, Assurances, and Representations

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Appendix B to Part 1800—Terms and Conditions

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1800.902 Technical Publications and Reports.

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TECHNICAL PUBLICATIONS AND REPORTS

(a) NASA encourages the widest practicable dissemination of research results at any time during the course of the investigation.

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(4) For research and research-related awards, see additional reporting requirements at 1800.930 Access to Research Results.

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1800.928 Electronic Funds Transfer Payment Methods

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1800.929 Indirect Costs.

Prescription—The Grant Officer shall include this term and condition in all awards with indirect costs, excluding those awards to institutions of higher education and to entities using the 10% de minimis rate.

INDIRECT COSTS

Unless otherwise directed in 2 CFR 200, if during the course of this award, the approved indirect cost rate is revised, changed or removed, that rate must be applied, as allowed, to the covered direct costs that are expended during the time frame of that rate agreement. Any corrections, either up or down, to the approved budget submitted with the awarded application must be reflected in the awardees' records of costs and should be audited as such.

(End of Term and Condition)

1800.930 Access to Research Results

Prescription—The Grant Officer shall include this term and condition in all research and research-related awards.

ACCESS TO RESEARCH RESULTS

(a) This award is subject to the requirements of the, “[NASA Plan: Increasing Access to the Results of Scientific Research](#),” which covers public access to digital scientific data and peer-reviewed publications. For purposes of this term and condition, the following definitions apply:

(1) Awardee: Any recipient of a NASA grant or cooperative agreement, its investigators, and subrecipient (subaward or contract as defined in 2 CFR Part 200.92 and 200.22, respectively) at any level.

(2) Final Peer-Reviewed Manuscript: The final text version of a peer-reviewed article disclosing the results of scientific research which is authored or co-authored by the Awardee or funded, in whole or in part, with funds from a NASA award, that includes all modifications from the publishing peer review process, and all graphics and supplemental material prepared by Awardee.

(b) The recipient shall:

(1) Comply with their approved Data Management Plan submitted with its proposal, and as modified upon agreement by the recipient and NASA from time to time during the course of the period of performance.

(2) Ensure that any Final Peer-Reviewed Manuscript is submitted to the NASA-designated repository, currently the PubMed Central system at <http://www.ncbi.nlm.nih.gov/pmc/>. NASA will provide instructions for completing the submission process under separate cover. Ensure that the Final Peer-Reviewed Manuscript is submitted to PubMed Central within one year of completion of the peer-review process.

(3) Ensure that any publisher's agreements entered into by an Awardee will allow for the Awardee to comply with these requirements including submission of Final Peer-Reviewed Manuscripts to the NASA-designated repository, as listed in (b)(2), with sufficient rights to permit such repository to use such Final Peer-Reviewed Manuscript in its normal course, including rights to permit users to download XML and plain text formats.

(4) Hereby represent and warrant that Awardee has secured for recipient the right to submit the Final Peer-Reviewed Manuscript to the NASA-designated repository for use as set forth herein.

(5) Include in annual and final reports a list of Final Peer-Reviewed Manuscripts covered by this term and condition.

(End of Term and Condition)]